

licant:

NAKANISHI, et al.

February 28, 2002

Examiner:

Group Art Unit:

Unknown

10/070,28

Docket:

10873.887US01

Confirmation

4606

Notice of Allow. Date:

n/a

2651

RECEIVED MAR 0 3 2004

Due Date:

March 11, 2004

Title:

No.:

OPTICAL ELEMENT, SEMICONDUCTOR DEVICE, AND OPTICAL INFORMATION

RECORDING DEVICE EMPLOYING THE SAME

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: , Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on February 24, 2004.

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

23552 PATENT TRADEMARK OFFICE RECEIVED

MAR 0 2 2004

We are transmitting herewith the attached:

Technology Center 2600

Transmittal Sheet in duplicate containing Certificate of Mailing

Supplemental Information Disclosure Statement, Form 1449, 1 Reference(s), Copy of Korean Office Action

Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees-or creditioverpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C. P.O. Box 2903, Minneapolis, MN 55402-0903 612.332.5300

Name: Douglas P. Mueller

Reg. No.: 130,300

DPM/pjk

IN THE STATES PATENT AND TRADEMARK OFFICE

Applicant:

NAKANISHI, et al.

Examiner:

Unknown

Serial No.:

10/070,288

Group Art Unit:

2651

Filed:

February 28, 2002

Docket No.:

10873.887USWO

Title:

OPTICAL ELEMENT, SEMICONDUCTOR DEVICE, AND OPTICAL INFORMATION RECORDING DEVICE EMPLOYING THE SAME

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on February 24, 2004.

Name: Peggy Kerkhove

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

RECEIVED

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

MAR 0 2 2004

Technology Center 2600

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. At least some of the references were recently cited in a Korean Office Action mailed February 11, 2004.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449. Moreover, in accordance with 37 C.F.R. §1.97(c) or §1.97(d), the undersigned hereby certifies that <u>each item</u> of information listed on the enclosed Form 1449 <u>was first cited</u> in a communication from a foreign patent office in a counterpart foreign application <u>within three months</u> of filing this statement.

Certification Under 37 C.F.R. § 1.704(d)

In accordance with 37 C.F.R. § 1.704(d), the undersigned hereby certifies that each item listed on the enclosed Form 1449 was cited in a communication from a foreign patent office in a counterpart application, and that this communication was not received by any individual

designated in 37 C.F.R. § 1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement. Accordingly, no patent term adjustment is due for the filing of this Information Disclosure Statement.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided. Enclosed for the Examiner's information is a copy of the Korean Office Action.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.

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By:

Douglas P. Mueller Reg. No. 30,300

Dated: February 24, 2004

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23552